

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

7 KIM R. LOPEZ,)
8 Plaintiff,) No. CV-09-3076-CI
9 v.) ORDER DENYING PLAINTIFF'S
10 MICHAEL J. ASTRUE, Commissioner) MOTION FOR SUMMARY JUDGMENT
11 of Social Security,) AND GRANTING DEFENDANT'S
12 Defendant.) MOTION FOR SUMMARY JUDGMENT

14 BEFORE THE COURT are cross-Motions for Summary Judgment. (Ct.
15 Rec. 17, 22.) Attorney D. James Tree represents Kim Lopez
16 (Plaintiff); Special Assistant United States Attorney Mathew W. Pile
17 represents the Commissioner of Social Security (Defendant). The
18 parties have consented to proceed before a magistrate judge. (Ct.
19 Rec. 7.) After reviewing the administrative record and briefs filed
20 by the parties, the court **DENIES** Plaintiff's Motion for Summary
21 Judgment, and directs entry of judgment for Defendant.

JURISDICTION

23 Plaintiff protectively filed for Supplemental Security Income
24 (SSI) on April 19, 2006. (Tr. 130.) She alleged disability due to
25 rheumatoid arthritis with an onset date of December 1, 2004. (Tr.
26 106.) Benefits were denied initially and on reconsideration.
27 Plaintiff timely requested a hearing before an administrative law

1 judge (ALJ), which was held before ALJ Gene Duncan on October 30,
 2 2008. (Tr. 25-64.) Plaintiff, who was represented by counsel, and
 3 vocational expert Fred Cutler(VE) testified. The ALJ denied
 4 benefits on March 4, 2009, and the Appeals Council denied review.
 5 (Tr. 1-4, 7-24.) The instant matter is before this court pursuant
 6 to 42 U.S.C. § 405(g).

7 **STANDARD OF REVIEW**

8 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
 9 court set out the standard of review:

10 A district court's order upholding the Commissioner's
 11 denial of benefits is reviewed *de novo*. *Harman v. Apfel*,
 12 211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the
 13 Commissioner may be reversed only if it is not supported
 14 by substantial evidence or if it is based on legal error.
Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999).
 15 Substantial evidence is defined as being more than a mere
 16 scintilla, but less than a preponderance. *Id.* at 1098.
 17 Put another way, substantial evidence is such relevant
 18 evidence as a reasonable mind might accept as adequate to
 support a conclusion. *Richardson v. Perales*, 402 U.S.
 389, 401 (1971). If the evidence is susceptible to more
 than one rational interpretation, the court may not
 substitute its judgment for that of the Commissioner.
Tackett, 180 F.3d at 1097; *Morgan v. Commissioner of
 Social Sec. Admin.*, 169 F.3d 595, 599 (9th Cir. 1999).

19 The ALJ is responsible for determining credibility,
 20 resolving conflicts in medical testimony, and resolving
 21 ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th
 22 Cir. 1995). The ALJ's determinations of law are reviewed
 23 *de novo*, although deference is owed to a reasonable
 24 construction of the applicable statutes. *McNatt v. Apfel*,
 25 201 F.3d 1084, 1087 (9th Cir. 2000).

26 It is the role of the trier of fact, not this court, to resolve
 27 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence
 28 supports more than one rational interpretation, the court may not
 substitute its judgment for that of the Commissioner. *Tackett*, 180
 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir. 1984).
 Nevertheless, a decision supported by substantial evidence will

1 still be set aside if the proper legal standards were not applied in
 2 weighing the evidence and making the decision. *Brawner v. Secretary*
 3 *of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If
 4 there is substantial evidence to support the administrative
 5 findings, or if there is conflicting evidence that will support a
 6 finding of either disability or non-disability, the finding of the
 7 Commissioner is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-
 8 1230 (9th Cir. 1987).

9 **SEQUENTIAL EVALUATION**

10 Also in *Edlund*, 253 F.3d at 1156-1157, the court set out the
 11 requirements necessary to establish disability:

12 Under the Social Security Act, individuals who are
 13 "under a disability" are eligible to receive benefits. 42
 14 U.S.C. § 423(a)(1)(D). A "disability" is defined as "any
 15 medically determinable physical or mental impairment"
 16 which prevents one from engaging "in any substantial
 17 gainful activity" and is expected to result in death or
 18 last "for a continuous period of not less than 12 months."
 19 42 U.S.C. § 423(d)(1)(A). Such an impairment must result
 20 from "anatomical, physiological, or psychological
 21 abnormalities which are demonstrable by medically
 22 acceptable clinical and laboratory diagnostic techniques."
 23 42 U.S.C. § 423(d)(3). The Act also provides that a
 24 claimant will be eligible for benefits only if his
 25 impairments "are of such severity that he is not only
 26 unable to do his previous work but cannot, considering his
 27 age, education and work experience, engage in any other
 28 kind of substantial gainful work which exists in the
 national economy. . . ." 42 U.S.C. § 423(d)(2)(A). Thus,
 the definition of disability consists of both medical and
 vocational components.

22 In evaluating whether a claimant suffers from a
 23 disability, an ALJ must apply a five-step sequential
 24 inquiry addressing both components of the definition,
 25 until a question is answered affirmatively or negatively
 26 in such a way that an ultimate determination can be made.
 27 20 C.F.R. §§ 404.1520(a)-(f), 416.920(a)-(f). "The
 28 claimant bears the burden of proving that [s]he is
 disabled." *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9th Cir.
 1999). This requires the presentation of "complete and
 detailed objective medical reports of h[is] condition from
 licensed medical professionals." *Id.* (citing 20 C.F.R. §§

404.1512(a)-(b), 404.1513(d)).

The Commissioner has established a five-step sequential evaluation process for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S. 137, 140-42 (1987). In steps one through four, the burden of proof rests upon the claimant to establish a *prima facie* case of entitlement to disability benefits. *Rhinehart v. Finch*, 438 F.2d 920, 921 (9th Cir. 1971). This burden is met once a claimant establishes that a physical or mental impairment prevents her from engaging in her previous occupation. 20 C.F.R. §§ 404.1520(a), 416.920(a). If a claimant cannot do her past relevant work, the ALJ proceeds to step five, and the burden shifts to the Commissioner to show that (1) the claimant can make an adjustment to other work; and (2) specific jobs exist in the national economy which claimant can perform. 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Kail v. Heckler*, 722 F.2d 1496, 1497-98 (9th Cir. 1984).

STATEMENT OF THE CASE

The facts of the case are set forth in detail in the transcript of proceedings and are briefly summarized here. At the time of the hearing, Plaintiff was 44 years old, unmarried, and living in a small trailer in her father's back yard. (Tr. 43.) At the hearing, Plaintiff testified she attended special education classes through 11th grade before dropping out of school. (Tr. 47.) She stated she had no vocational training and had not obtained her high school equivalency degree. (Tr. 28-29.) In her written report however, she indicated she completed high school and did not attend special education classes. (Tr. 110.) She had past work experience as an

1 agricultural products sorter/packer and as a fast food server. (Tr.
2 31, 112.) Plaintiff testified she was last employed in 2001, but
3 worked sporadically without pay for her father in his landscaping
4 business. (Tr. 43.) She reported taking prescription medication,
5 which she thought was making her arthritis better. (Tr. 44.) She
6 stated she had good days and bad days, and was able to cook, do
7 dishes, clean the house, and do yard work. She also reported she
8 enjoyed her hobbies of drawing, crocheting, and embroidery. (Tr.
9 35-37.) Regarding her exertional abilities, she testified she could
10 stand 20 to 25 minutes, walk a half of a mile, and lift 20 pounds.
11 (Tr. 37.) She stated she had looked for work but was turned down
12 due to lack of experience and education. (Tr. 31.) She also stated
13 she could not work full time because of arthritic pain and swelling
14 her knee, elbow, shoulder, wrists, fingers, hips, and ankles. (Tr.
15 31-32, 34.)

16 **ADMINISTRATIVE DECISION**

17 At step one, ALJ Duncan found Plaintiff had not engaged in
18 substantial gainful activity since the alleged onset date. (Tr.
19 12.) At step two, he found Plaintiff had severe impairments of
20 rheumatoid arthritis (polyarticular inflammatory arthritis) and
21 right shoulder crepitus. (*Id.*) He found medical diagnoses in the
22 record of cervical cancer, psoriasis, gastroesophageal reflux
23 disease, obesity, hip bursitis, mild depression/adjustment disorder,
24 and a trigger finder problem were non-severe impairments. (Tr. 13.)
25 At step three, he found Plaintiff's impairments, alone and in
26 combination, did not meet or medically equal one of the listed
27 impairments in 20 C.F.R., Appendix 1, Subpart P, Regulations No. 4
28

1 (Listings). (Tr. 15.) At step four, the ALJ determined Plaintiff
2 had the residual functional capacity (RFC) to perform light work,
3 with occasional postural limitations, the need to "avoid overhead
4 work, work involving forcible torqueing or twisting of objects, and
5 climbing ladders, ropes, and scaffolds." (Tr. 16.) He also limited
6 her to work environments with no exposure to cold or wet weather; no
7 restaurant or food sales; and no face to face contact with the
8 general public. (*Id.*) He found she could perform simple routine
9 work, frequently handle objects, and frequently reach with the right
10 upper extremity. (*Id.*)

11 In his step four findings, the ALJ summarized Plaintiff's
12 testimony, made credibility findings, and concluded her statements
13 regarding the severity of her functional limitations were not
14 credible to the extent they were inconsistent with the RFC findings.
15 (Tr. 17-21.) Based on the RFC and VE testimony, the ALJ concluded
16 Plaintiff could not perform her past work as an agricultural sorter
17 or packager or as a fast food worker. (Tr. 22.) Proceeding to step
18 five, the ALJ considered VE testimony and found there were a
19 significant number of light, unskilled jobs in the national economy
20 Plaintiff could perform. (Tr. 23.) He concluded Plaintiff was not
21 disabled, as defined by the Social Security Act, from the date her
22 application for benefits was filed through the date of his decision.
23 (Tr. 24.)

24 **ISSUES**

25 The question is whether the ALJ's decision is supported by
26 substantial evidence and free of legal error. Plaintiff argues the
27 ALJ erred when he: (1) rejected the opinions of treating physician
28

1 Peter Harveson, M.D., and nurse practitioner Sandra Carollo, and (2)
2 failed to meet his burden at step five. (Ct. Rec. 18 at 7.)
3

4 **DISCUSSION**

5 **A. Evaluation of Medical Evidence**

6 In disability proceedings, the ALJ evaluates the medical
7 evidence submitted and must explain the weight given to the opinions
8 of accepted medical sources in the record. 20 C.F.R. § 416.927.
9 A treating physician's opinion carries more weight than an examining
10 physician's, and an examining physician's opinion carries more
11 weight than a non-examining reviewing or consulting physician's
12 opinion. *Benecke v. Barnhart*, 379 F.3d 587, 592 (9th Cir. 2004);
13 *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995). The Commissioner
14 must provide "clear and convincing" reasons for rejecting the
15 uncontradicted opinion of a treating or examining physician."
16 *Lester*, 81 F.3d at 830. If the medical opinion is contradicted, it
17 can only be rejected for specific and legitimate reasons that are
18 supported by substantial evidence in the record. *Andrews*, 53 F.3d
19 at 1043.

20 The Commissioner can meet this burden by providing "a detailed
21 and thorough summary of the facts and conflicting clinical evidence,
22 stating his interpretation thereof, and making findings." *Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir. 1989)(quoting *Cotton v. Bowen*, 799 F.2d 1403, 1408 (9th Cir. 1986)). Historically, the
25 courts have recognized conflicting medical evidence, the absence of
26 regular medical treatment during the alleged period of disability,
27 and the lack of medical support for doctors' reports based
28

1 substantially on a claimant's subjective complaints as specific,
 2 legitimate reasons for disregarding a treating or examining
 3 physician's opinion. *Flaten v. Secretary of Health and Human*
 4 *Servs.*, 44 F.3d 1453, 1463-64 (9th Cir. 1995); *Fair v. Bowen*, 885
 5 F.2d 597, 604 (9th Cir. 1989). The ALJ need not accept a treating
 6 source opinion that is "'brief, conclusory and inadequately
 7 supported by clinical findings.'" *Lingenfelter v. Astrue*, 504 F.3d
 8 1028, 1044-45 (9th Cir. 2007) (quoting *Thomas v. Barnhart*, 278 F.3d
 9 947, 957 (9th Cir. 2002)). Medical opinions based on a claimant's
 10 subjective complaints may be rejected where the claimant's
 11 credibility has been properly discounted.¹ *Webb v. Barnhart*, 433
 12 F.3d 683, 688 (9th Cir. 2005)(credibility properly considered in
 13 evaluating medical evidence); *Tonapetyan v. Halter*, 242 F.3d 1144,

14 ¹ The ALJ's credibility findings are specific, "clear and
 15 convincing" and unchallenged. (Tr. 19-21.) He properly noted
 16 objective evidence of exaggeration of symptoms, (Tr. 21), instances
 17 of inconsistency between Plaintiff's statements to medical providers
 18 and her testimony, inconsistencies between testimony and her written
 19 reports, a range of daily activities inconsistent with her alleged
 20 limitations, a lack of candor about past drug abuse, and her hearing
 21 testimony that her condition had improved over the last two years.
 22 (Tr. 19-20.) These legally sufficient reasons to discount
 23 Plaintiff's allegations are supported by substantial evidence in the
 24 record, which is appropriately referenced by the ALJ in his
 25 decision. *Lingenfelter v. Astrue*, 504 F.3d 1028, 1038-39 (9th Cir.
 26 2007); *Verduzco v. Apfel*, 188 F.3d 1087, 1090 (9th Cir. 1999);
 27 *Flaten*, 44 F.3d at 1463-64.
 28

1 1149 (9th Cir. 2001). Rejection of a medical source opinion is
2 specific and legitimate where the medical source's opinion is not
3 supported by his or her own medical records and/or objective data.
4 *Tommasetti v. Astrue*, 533 F.3d 1035, (9th Cir. 2008).

5 The record shows Amber Figueroa, D.O. and Dr. Harveson were
6 Plaintiff's treating physicians at the Yakima Valley Farm Workers
7 Clinic between 2006 and 2008. (Tr. 152-62, 167-70, 212-13, 275-82.)
8 Dr. Figueroa referred Plaintiff to nurse practitioner Sandra Carollo
9 for assessment March 2006 for assessment and treatment of possible
10 rheumatoid arthritis. (Tr. 158-59.) On March 22, 2006, Ms. Carollo
11 examined Plaintiff and observed fairly good movement of neck,
12 shoulders, elbows, wrists, no remarkable tenderness of joints, a
13 very small amount of swelling on two fingers, but good grip and fist
14 formation. Also noted were good movement of the hips, good range of
15 motion of ankles and some small irritability of left hip. (Tr.
16 157.) Based on laboratory test results, Ms. Carollo diagnosed
17 Plaintiff with probable rheumatoid arthritis and began treating her
18 with medication. (Tr. 156-57.) The record does not contain evidence
19 that Ms. Carollo examined Plaintiff again before she completed an
20 agency physical evaluation form on May 5, 2006, and opined Plaintiff
21 was limited to sedentary level work. (Tr. 153, 164-65.)

22 In a clinic note dated June 7, 2006, Dr. Harveson examined
23 Plaintiff for the first time, and noted "really good" range of
24 motion of her shoulder and no tenderness to palpation, no swelling
25 of the fingers, unremarkable tenderness to palpation of the knee.
26 He observed her arthritis "seems to be very well controlled" with
27 medication. (Tr. 168.) On August 7, 2007, Dr. Harveson examined
28

1 Plaintiff for a disability evaluation. (Tr. 167.) He noted her
2 documented rheumatoid arthritis, and concurred with Ms. Carollo's
3 findings on the May 5, 2006, evaluation. (*Id.*) He opined Plaintiff
4 could not do manual labor but "could do a sedentary job as long as
5 she is able to get up and move around" and take breaks. (*Id.*) He
6 completed a physical evaluation form, dated August 7, 2006, in which
7 he noted moderately severe limits in "grasping, keyboarding,
8 pulling," a limitation of lifting ten pounds occasionally, and
9 avoidance of repetitive manual activity. (Tr. 272-73.) Dr.
10 Harveson opined her overall work level was "sedentary," which is
11 defined in the form as "the ability to lift 10 pounds maximum and
12 frequently lift and/or carry such articles as files and small tools.
13 A sedentary job may require sitting, walking and standing for brief
14 periods." *Id.*

15 On July 24, 2007, Dr. Harveson completed a second physical
16 evaluation form, opining Plaintiff was limited to a sedentary work
17 level by moderately severe rheumatoid arthritis; he also noted
18 Plaintiff's reported lack of stamina and opined repetitive work
19 would be hard on her joints. (Tr. 275-78.) Less than one year
20 later, on June 30, 2008, he completed a third physical evaluation
21 form in which he opined Plaintiff was capable of performing medium
22 work, that she was being treated with medication and needed physical
23 therapy. He rated her severity level as mild in the areas of
24 lifting, carrying and handling. (Tr. 279-82.)

25 ALJ Duncan gave a detailed summary of the medical evidence in
26 his decision, referencing Ms. Carollo's and Dr. Harveson's findings,
27 as well as those from orthopedic specialist John Adkison, M.D., and
28

1 arthritis/rheumatology specialist Zhiqian Roger Wang, M.D., from the
 2 University of Washington. (Tr. 12-13.) At step four, in his
 3 discussion of Plaintiff's RFC and credibility, the ALJ specifically
 4 gave little weight to Ms. Carollo's May 5, 2006, opinion and to Dr.
 5 Harveson's August 7, 2006, and July 24, 2007, opinions regarding
 6 Plaintiff's limitation to sedentary work. (Tr. 21.)

7 Plaintiff argues the ALJ should have deferred to the opinions
 8 of Ms. Carollo and Dr. Harveson because their opinions warrant
 9 significant weight as treating medical sources. (Ct. Rec. 18 at 11-
 10 14.) Plaintiff also claims the ALJ inappropriately "put himself in
 11 the position of a medical expert," when he rejected medical source
 12 opinions. (Ct. Rec. 24 at 4.) These arguments are unpersuasive
 13 because (1) at the time the rejected opinions were given, neither
 14 provider qualified as a "treating physician," as defined by case law
 15 and the Social Security Regulations (Regulations); (2) the ALJ gave
 16 legally sufficient reasons for rejecting the sedentary work
 17 limitation; and (3) a medical source opinion is only controlling as
 18 to medical issues, and not as to issues reserved to the
 19 Commissioner, such as residual functional capacity and disability.
 20 SSR 96-2p; see also SSR 96-5p (policy ruling to clarify the
 21 difference between a medical source opinion and administrative
 22 assessment of residual functional capacity.)

23 1. Treating Medical Sources

24 The regulations distinguish among the opinions of three types
 25 of physicians: (1) sources who have treated the claimant; (2)
 26 sources who have examined the claimant; and (3) sources who have
 27 neither examined nor treated the claimant, but express their opinion

1 based upon a review of the claimant's medical records. 20 C.F.R. §
2 416.927. A treating physician's opinion is given special weight
3 because he or she is employed to cure and has a greater opportunity
4 to observe the claimant's physical condition over a period of time.
5 *Fair*, 885 F.2d at 604-05; *Murray v. Heckler*, 722 F.2d 499, 502 (9th
6 Cir. 1983). As explained in the Regulations, more weight is given
7 to a medical professional who can provide a detailed, longitudinal
8 picture of a claimant's medical impairment and "bring a unique
9 perspective to the medical evidence that cannot be obtained from the
10 objective medical findings alone." 20 C.F.R. § 416.927.

11 Here, Ms. Carollo, as a nurse practitioner, is not an
12 acceptable medical source and cannot be considered a treating
13 physician qualified to diagnose a medical impairment. 20 C.F.R. §
14 416.913(a). However, she is a medical "other source" whose opinion
15 must be considered regarding how a claimant's impairments affect his
16 ability to work. 20 C.F.R. § 416.913(d)(1). The ALJ must explain
17 the weight given medical "other source" opinions in his evaluation
18 of the evidence. SSR 96-03p. The record indicates Ms. Carollo had
19 seen Plaintiff one time before opining as to Plaintiff's
20 limitations; therefore, there is no evidence of a longstanding
21 treatment relationship with Plaintiff that would entitle her opinion
22 to significant weight under SSR 06-03p.² Plaintiff's argument that

² In evaluating a medical "other source" opinion, the ALJ should consider the length of the treating relationship, the nature and extent of the treatment relationship, whether the opinion is supported by and consistent with other evidence in the record, and

1 Ms. Carollo's opinion warranted significant weight fails.

2 Likewise, Dr. Harveson did not have an established treatment
 3 relationship with Plaintiff on August 7, 2006, when he concurred
 4 with Mr. Carollo's opinion that Plaintiff was limited to sedentary
 5 work. (Tr. 167.) Further, his clinic note indicates he relied on
 6 Ms. Carollo's assessment rather than his own independent findings
 7 and assessment. (*Id.*) Dr. Harveson's 2006 opinion that Plaintiff
 8 was limited to sedentary work was not based on a lengthy personal
 9 relationship or a longitudinal perspective and, therefore, does not
 10 warrant the special weight generally given to treating physicians.

11 2. Rejection of Medical Source Opinions

12 Nonetheless, if a treating or examining physician's opinion is
 13 not contradicted, it can be rejected only with "clear and
 14 convincing" reasons. *Lester*, 81 F.3d at 830. If contradicted, the
 15 ALJ may reject the opinion if he states specific, legitimate reasons
 16 that are supported by substantial evidence. *Flaten*, 44 F.3d at
 17 1463; *Fair*, 885 F.2d at 605. An "other source" opinion can be
 18 rejected with specific reasons "germane" to the source. *Nguyen v.*
 19 *Chater*, 100 F.3d 1462, 1467 (9th Cir. 1996). Here, the ALJ explained
 20 the weight given Dr. Harveson's and Ms. Carollo's opinions by
 21 providing the requisite specific and legitimate reasons for
 22 rejecting a contradicted medical source opinions.³ See SSR 96-2p.

23
 24 _____
 25 the expertise of the provider. SSR 06-03p.

26 ³ The record shows Dr. Harveson's assessment of sedentary
 27 level work is contradicted by the opinions of agency reviewing
 28 physician Morris Fuller, M.D.; therefore, the "specific and

1

2 For example, he found the rejected "sedentary work" opinions
3 were not supported by examination findings and observations reported
4 by the examining providers. This finding is supported by clinic
5 notes from Dr. Amber Figueroa, Ms. Carollo, and Dr. Harveson in
6 which the medical providers noted good movement without swelling or
7 tenderness, good range of motion, and symptoms well-controlled with
8 medication. (Tr. 21, 154, 156-57, 167-69.) A finding that a
9 medical source's opinion is not supported by his or her own notes is
10 a legally sufficient reason to discount that opinion. See
11 *Tommasetti*, 533 F.3d at 1041.

12 In addition, the ALJ noted contemporaneous medical evidence
13 from other medical sources did not support the degree of limitation
14 opined by Ms. Carollo in 2006, and Dr. Harveson in 2006 and 2007.
15 (Tr. 21-22.) This finding is amply supported by evidence from
16 orthopedic specialist Dr. Adkison, who noted Plaintiff described her
17 arthritis as "intermittently causing swelling in multiple joints."
18 (Tr. 171.) However, on examination, Dr. Adkison observed no joint
19 issues, a normal hand examination, no tenderness, no mobility
20 problems with the wrist, full range of motion of the elbow and

21 _____
22 legitimate" standard applies. (See Tr. 184-89.) Dr. Fuller
23 reviewed the medical record as of November 2006, which included a
24 report from an orthopedic specialist John Adkison, M.D., that was
25 not available to Dr. Harveson at the time he completed his 2006
26 evaluation. See *infra* page 14-15. Referencing Dr. Adkison's
27 findings, Dr. Fuller found Plaintiff capable of light level work
28 with occasional postural limitations. (Tr. 184-85, 190.)

1 forearm, and clear evidence of calluses on her palm, demonstrating
2 "excellent function of her hand in regard to the arthritis issue."
3 (Tr. 172.) As a result of the examination, Dr. Adkison determined
4 surgery was not necessary, and Plaintiff declined treatment by
5 injection. (*Id.*) Because Dr. Adkison is a specialist in
6 orthopedics, and his August 21, 2006, assessment is inconsistent
7 with Dr. Harveson's August 7, 2006, unsupported opinion, the ALJ did
8 not err in rejecting the degree of limitation assessed by Dr.
9 Harveson. 20 C.F.R. § 416.927(d)(3), (4), and (5).

10 The ALJ's rejection of the sedentary level limitation as
11 inconsistent with medical evidence is also supported by Dr. Wang's
12 evaluation, notes and test results from 2007 and 2008. (Tr. 214-
13 69.) At those appointments, Plaintiff reported improvement with
14 new medication, non-significant morning stiffness that lasted about
15 one half hour. (Tr. 221, 226, 232.) By July 2008, Dr. Wang noted
16 "essentially normal" laboratory levels, and occasional use of
17 ibuprofen to relieve pain. (Tr. 236-37.) Dr. Wang recorded no
18 observations of disabling symptoms. Left hip pain was identified as
19 bursitis and follow-up with her primary care provider for physical
20 therapy was recommended.

21 Significantly, Dr. Harveson's 2008 physical evaluation form,
22 completed after two years as her treating physician, indicates
23 Plaintiff was capable of medium work. (Tr. 281.) This assessment
24 appears to be based on and supported by Dr. Wang's objective test
25 results and specialist interpretations. (See e.g. Tr. 210.)
26 Independent review of the entire record indicates the ALJ's
27 evaluation of treating and examining medical source evidence is a
28

1 rational interpretation of the evidence, and an appropriate
 2 resolution of conflicting opinions.⁴ The ALJ did not err in his
 3 evaluation of the medical evidence.

4 3. Issues Reserved to the Commissioner

5 Although medical source opinions (examining and non-examining)
 6 must always be considered by the ALJ, and his reasoning must meet
 7 legal standards and be supported by substantial evidence, it is
 8 well-settled that in disability proceedings, the final determination
 9 of a claimant's ability to perform work is the province of the ALJ.
 10 *Richardson*, 402 U.S. at 400. To warrant controlling weight, a
 11 treating medical source opinion must be well-supported and
 12 consistent with other medical evidence in the record. 20 C.F.R. §
 13 416.927. Nonetheless, an ALJ is not bound by a treating source
 14 opinion on the ultimate question of a claimant's ability to perform
 15 work-related tasks.

16 **B. RFC Determination and Step Five Findings**

17 The RFC determination represents the most a claimant can still
 18 do despite his or her physical and mental limitations. 20 C.F.R. §

19 ⁴ Plaintiff's contention that the ALJ erred by "putting
 20 himself in the place of a medical expert" to resolve the conflicting
 21 opinions is without merit. (See Ct. Rec. 24 at 4.) Even where an
 22 ALJ exercises his or her discretion in obtaining medical expert
 23 testimony to assist in evaluating medical evidence, resolution of
 24 conflicts in medical evidence is the sole responsibility of the ALJ,
 25 not the medical expert. *Andrews*, 53 F.3d at 1041; see also
 26 *Magallanes*, 881 F.2d at 751; *Sample v. Schweiker*, 694 F.2d 639 (9th
 27 Cir. 1982).

1 416.945. The final determination regarding a claimant's ability to
 2 perform basic work is the sole responsibility of the Commissioner.
 3 20 C.F.R. § 416.946; SSR 96-5p. No special significance is to be
 4 given to a medical source opinion on issues reserved to the
 5 Commissioner. 20 C.F.R. § 416.927(e). Thus, an RFC assessment is
 6 not a "medical issue" under the Regulations; it is an administrative
 7 finding based on all relevant evidence in the record, not just
 8 medical evidence. *Id.*

9 ALJ Duncan's RFC determination reflects a reasonable
 10 interpretation of the medical evidence in its entirety, as well as
 11 Plaintiff's credible testimony. As discussed above, the ALJ
 12 properly rejected Dr. Harveson's and Ms. Carolla's opinions that
 13 Plaintiff was restricted to sedentary work. He evaluated the
 14 medical evidence in its entirety, gave his interpretation, and found
 15 Plaintiff could do light work with specific limitations supported by
 16 substantial evidence in the record and Plaintiff's credible
 17 testimony. At step five, the VE properly relied on the ALJ's
 18 hypothetical, which reflects the final RFC determination, and
 19 testified there is a significant number of jobs in the national
 20 economy Plaintiff could perform. (Tr. 23-24, 52-59.) In his
 21 decision, the ALJ specifically referenced representative occupations
 22 Plaintiff would be able to perform. (Tr. 23.) The Commissioner,
 23 thus, met his burden at step five.

24 Where, as here, there is evidence to support ALJ Duncan's
 25 interpretation of the evidence, the court may not substitute its
 26 judgment for that of the Commissioner. *Sprague*, 812 F.2d at 1229-
 27 30. Because the ALJ's reasoning is supported by substantial
 28

1 evidence, his findings may not be disturbed. Accordingly,

2 **IT IS ORDERED:**

3 1. Plaintiff's Motion for Summary Judgment (**Ct. Rec. 17**) is
4 **DENIED**;

5 2. Defendant's Motion for Summary Judgment (**Ct. Rec. 22**) is
6 **GRANTED**.

7 The District Court Executive is directed to file this Order and
8 provide a copy to counsel for Plaintiff and Defendant. Judgment
9 shall be entered for Defendant, and the file shall be **CLOSED**.

10 DATED December 10, 2010.

11

12

s/ CYNTHIA IMBROGNO
13 UNITED STATES MAGISTRATE JUDGE

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28